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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1095-1067.2

First Inventor Mark Y. Zhan

Title Cargo Snugger Strap And Hook Mechanism

Express Mail Label No. EL 874 025 219 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 18]  
(preferred arrangement set forth below)  
- Descriptive title of the invention Copy from Parent  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]  
Copy from Parent

5. Oath or Declaration [Total Pages 3]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s)) Copy from  
37 CFR 3.73(b) Statement ☐ Power of Attorney Parent

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17. ☒ Other: PTO Form 892 (Paper 6)  
1st & 2nd Preliminary Amendments; Resp to OA  
02-07-01; 06-22-01; and 01-10-02

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/459545

Prior application information: Examiner Stephen T. Gordon

Group / Art Unit 3612

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name David C. Brezina  
Lee, Mann, Smith, McWilliams, Sweeney & Ohlson

Address P. O. Box 2786

City Chicago

State IL

Zip Code 60690-2786

Country USA

Telephone

312-368-1300

Fax

312-368-0034

Name (Print/Type) David C. Brezina

Registration No. (Attorney/Agent) 34,128

Signature

David C. Brezina

Date

March 6, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
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Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	To Be Assigned Herewith
Filing Date	Herewith
First Named Inventor	Mark Y. Zhan
Examiner Name	Stephen T. Gordon
Group Art Unit	3612
Attorney Docket No.	1095-1067.2

TOTAL AMOUNT OF PAYMENT **\$740.00****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0913**Deposit Account Name **Lee, Mann, Smith et al.**

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR § 1.27

- 2.
- ☒
- Payment Enclosed:
- No. 482.1**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370			Utility filing fee	740.00
106	330	206	165			Design filing fee	
107	510	207	255			Plant filing fee	
108	740	208	370			Reissue filing fee	
114	160	214	80			Provisional filing fee	
SUBTOTAL (1)							740.00

**2. EXTRA CLAIM FEES**

Extra Claims				Fee from below	Fee Paid
Total Claims	10	-20** =	0	X 18.00 =	0.00
Independent Claims	3	-3** =	0	X 80.00 =	0.00
Multiple Dependent					

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9			Claims in excess of 20
102	84	202	42			Independent claims in excess of 3
104	280	204	140			Multiple dependent claim, if not paid
109	84	209	42			** Reissue independent claims over original patent
110	18	210	9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **\$0.00**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non - English specification	
147	2,520	147	2,520			For filing a request for ex parte reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	400	216	200			Extension for reply within second month	
117	920	217	460			Extension for reply within third month	
118	1,440	218	720			Extension for reply within fourth month	
128	1,960	228	980			Extension for reply within fifth month	
119	320	219	160			Notice of Appeal	
120	320	220	160			Filing a brief in support of an appeal	
121	280	221	140			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,280	241	640			Petition to revive - unintentional	
142	1,280	242	640			Utility issue fee (or reissue)	
143	460	243	230			Design issue fee	
144	620	244	310			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	50	123	50			Processing fee under 37 CFR § 1.17(q)	
126	180	126	180			Submission of Information Disclosure Statement	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	740	246	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370			For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	
Other fee (specify) _____							

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$0.00****SUBMITTED BY**

Name (Print/Type)	David C. Brezina	Registration No. (Attorney/Agent)	34,128	Telephone	312-368-1300
Signature	<i>David C. Brezina</i>			Date	March 6, 2002

Complete (if applicable)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
)  
Mark Y. Zhan and Stuart H. Thomson ) Examiner: Stephen T. Gordon  
)  
Serial No.: To Be Assigned ) Group Art Unit: 3612  
)  
Filed: Herewith )  
)  
Patent: Cargo Snugger Strap And Hook Mechanism )

Commissioner for Patents  
Washington, D.C. 20231-9998

Dear Sir:


**DIVISIONAL PATENT APPLICATION WITH  
TRANSMITTAL AND FEE TRANSMITTAL AND FEE  
and  
PHOTOCOPIES OF DOCUMENTS FROM PARENT CASE**

"Express Mail" mailing label number  
**EL 874 025 219 US**

Date of deposit: March 6, 2002

I hereby certify that this paper or fee is being deposited with  
the United States Postal Service "Express Mail Post Office to  
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Patents, Washington DC 20231

**Richard Sensenbrenner**  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

**PATENT APPLICATION TRANSMITTAL LETTER**  
(Large Entity)

Docket No.  
1095-1067.1

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**Mark Y. Zhan and Stuart H. Thomson**

For: **Cargo Snugger Strap And Hook Mechanism**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL 350 752 187 US
- ☒ Three (3) sheets of drawings.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ Other: Assignment of Invention to Holland Company (Including Assignment Cover Sheet and Fee)

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	16	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
TOTAL FILING FEE					\$760.00

- ☒ A check in the amount of \$760.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 12-0913 as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of \_\_\_\_\_ as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: December 13, 1999

  
Signature

David C. Brezina, Registration No. 34,128  
Lee, Mann, Smith, McWilliams, Sweeney & Ohlson  
P. O. Box 2786  
Chicago, Illinois 60690-2786  
312-368-1300 Telephone  
312-368-0034 Facsimile  
dbrezina@intopro.com Email

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
)  
Mark Y. Zhan and Stuart H. Thomson ) Examiner:  
)  
Serial No.: To Be Assigned Herewith ) Group Art Unit:  
)  
Filed: Herewith )  
)  
Patent: Cargo Snugger Strap And Hook )  
Mechanism )

UTILITY PATENT APPLICATION WITH COVER SHEET AND FEE  
and  
ASSIGNMENT WITH COVER SHEET AND FEE

"Express Mail" mailing label number

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Date of deposit: December 13, 1999

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Richard Sensenbrenner

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(Signature of person mailing paper or fee)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
)  
Mark Y. Zhan and Stuart H. Thomson ) Examiner: Stephen T. Gordon  
)  
Serial No.: 09/459,545 ) Group Art Unit: 3612  
)  
Filed: December 13, 1999 )  
)  
Patent: Cargo Snugger Strap and Hook )  
Mechanism )

Commissioner of Patents  
Washington, D.C. 20231-9998

**SUBMISSION OF FORMAL DRAWINGS**

Responsive to the Examiner's drawing objections noted in an Office Action dated March 22, 2001 (Paper No. 6) as entered in the above-captioned matter, submitted herewith are five (5) sheets of formal drawings for the above-identified application.

Respectfully submitted,

June 22, 2001  
Date

John W. Hayes  
John W. Hayes  
Registration No. 33,900

One of the Attorneys for Applicant

Lee, Mann, Smith, McWilliams,  
Sweeney & Ohlson  
P. O. Box 2786  
Chicago, Illinois 60690-2786  
312-368-1300 Telephone  
312-368-0034 Facsimile  
jhayes@intelpro.com Email